

PERSON-CENTERED, PARTICIPATION- ORIENTED COGNITIVE REHABILITATION

James F. Malec, PhD, ABPP-Cn, Rp

Professor & Research Director

PM&R, Indiana University School of Medicine

Rehabilitation Hospital of Indiana

Indianapolis, IN

Professor Emeritus of Psychology, Mayo Clinic,
Rochester, MN



TRAUMATIC BRAIN INJURY
MODEL SYSTEMS

 Rehabilitation
Hospital of Indiana

 SCHOOL OF MEDICINE
INDIANA UNIVERSITY

PERSON-CENTERED

- ◉ AKA, Holistic Brain Injury Rehabilitation
- ◉ Originated by Yehuda Ben-Yishay, PhD, Leonard Diller, PhD, George Prigatano, PhD
 - Principles need not only apply to Day Programs
- ◉ Addresses the needs of the whole person
 - Cognitive, emotional, social, physical, spiritual
- ◉ Cognitive rehabilitation in the context of the person's overall:
 - Goals
 - Strengths
 - Weaknesses
 - External resources and barriers

VARYING PHILOSOPHIES AND APPROACHES

- Impairment focus vs. goal/outcome focus, i.e., *participation-oriented*
- Medical Model
 - Intervention directed at the individual who is ill or injured
- Vs. Social Model
 - Intervention directed at the social system in which the “disabled” or “ill” person operates
- Top-down
 - Executive and metacognitive skills
- Vs. bottom-up
 - Specific cognitive abilities (e.g., attention, memory)

BASIC PRINCIPLES

- ◉ Based on standardized holistic evaluation
 - Holistic: Physical, cognitive, emotional, spiritual, social & physical environment
 - Ideally interdisciplinary
 - Brain injury MD, neuropsychologist, OT, SLP, PT, SW or family counselor
 - Additional medical evaluations as required
 - Other options: Specialists in vocational re-entry, family adjustment, vision disorders, vestibular disorders, substance abuse, mental health
 - Functional evaluations
 - Neuropsychological evaluation
 - Identifies both strengths and weaknesses
 - Mayo-Portland Adaptability Inventory (MPAI-4)

BASIC PRINCIPLES: MATCH SCOPE OF EVALUATION & REHABILITATION TO CASE COMPLEXITY

- ◉ Most persons with BI will benefit from focused CR or CR + limited services
 - Complicating factors:
 - Other cognitive problems
 - Emotional or behavioral disorders
 - Marital or family issues
 - Physical medical problems
 - Substance use
 - Impaired self-awareness
 - Improved cognitive function is of little real value to the person
- ◉ Some may require comprehensive day treatment
 - Severe and pervasive disabilities
 - Significant emotional and behavioral problems, lack of self-awareness
- ◉ Correct determination = effective and cost-efficient

BASIC PRINCIPLES

- ◉ Collaborative goal-setting focused on participation outcomes
 - Patient and family work with team to negotiate long term goals
 - Foundation for a *Therapeutic Alliance*
 - “Begin with the end in mind”
 - Community reintegration
 - Goals = positive outcomes valued by patient
 - Not list of disabilities to be remediated
 - Goal-setting = executive function training
 - Discharge goals vs. step goals

BASIC PRINCIPLES

- ◉ Specific, Goal-oriented treatment plan
 - Therapeutic alliance
 - Communication with other team members
 - Regular meetings with and without patient/family
 - Strategic use:
 - procedural learning
 - learning vs. environmental interventions
 - Medications
 - Plan/practice for generalization
 - Contextualized CR
 - Work/independent living trials
 - Family/significant other participation

BASIC PRINCIPLES

- ◉ Standardized Monitoring of Progress
 - Record progress toward discharge & step goals
 - Modify treatment plan as appropriate
 - Standardized measures, e.g.,
 - Everyday Memory Questionnaire, Dysexectuvie Questionnaire
 - Goal Attainment Scaling for individualized goals
- ◉ Regular re-evaluations

GAS GOAL: PARTICIPANT ROUTINELY USES PROBLEM-SOLVING AND GOAL MANAGEMENT STRATEGIES TO SOLVE PROBLEMS IN EVERYDAY LIFE

Much better than expected: Participant learns and uses problem-solving and goal management strategies in addressing life problems almost all the time independently

Better than expected: Participant learns and uses problem-solving and goal management strategies in addressing life problems about 75% of the time independently

Expected Outcome: Participant learns and uses problem-solving and goal management strategies in addressing life problems 75% of the time with prompting

Less than expected: Participant has not learned and does not use problem-solving and goal management strategies

Much less than expected: Participant refuses to engage in systematic problem-solving

BASIC PRINCIPLES

- ◉ Make the most of nonspecific effects, ie, placebo effect
 - Therapeutic alliance
 - Positive expectations, hope
 - Danger of “nocebo” effect
 - Patient and significant other engagement
 - Support/encouragement from significant others

BASIC PRINCIPLES

○ Post-discharge planning

- Anticipate obstacles, need for reinforcement/practice
- Environmental/social support
- Self-management training/family training
- Regular follow-up/refreshers as needed

SUMMARY

Key Principle	Rationale
Standardized holistic evaluation	Cognitive impairment often associated with other factors that affect outcome
Match evaluation/treatment to case complexity	Maximizes efficiency; minimizes cost
Collaborative, participation-focused goal-setting	Participation goals are of most value to patients and family
Specific goal-oriented treatment plan	Only target impairments and barriers that affect valued outcomes

SUMMARY

Key Principle	Rationale
Standardized monitoring of progress	Standardized assessment increases reliability; modify treatment based on ongoing assessment
Use nonspecific effects	Maximizes successful outcome and are often necessary (but not necessarily sufficient) conditions for successful outcome
Plan for post-discharge	To sustain gains: plan self-management strategies, follow-up, refreshers

REFERENCES

- ◉ Cantor J, Ashman T, Dams-O'Connor K, Dijkers MP, Gordon W, et al. Evaluation of the STEP intervention for executive dysfunction after traumatic brain injury: a randomized controlled trial with minimization. *Arch Phys Med Rehabil* 2014; 95; 1-9
- ◉ Cicerone KD. Remediation of 'working attention' in mild traumatic brain injury. *Brain Inj* 2002;16:185-95.
- ◉ Cicerone K, Levin H, Malec J et al.. Cognitive rehabilitation interventions for executive function: moving from bench to bedside in patients with traumatic brain injury. *J Cogn Neurosci* 2006;18:1212-22.
- ◉ Cicerone KD, Dahlberg C, Kalmar K, et al. Evidence-based cognitive rehabilitation: recommendations for clinical practice. *Arch Phys Med Rehabil* 2000;81:1596-615.
- ◉ Cicerone KD, Langenbahn DM, Braden C, et al. Evidence based cognitive rehabilitation: updated review of the literature from 2003 through 2008. *Arch Phys Med Rehabil* 2011;92: 519-30.
- ◉ Cicerone KD, Dahlberg C, Malec JF, et al. Evidence based cognitive rehabilitation: updated review of the literature from 1998 through 2002. *Arch Phys Med Rehabil* 2005;86:1681-92.
- ◉ Haskins EC, et al. Cognitive rehabilitation manual: translating evidence-based recommendations into practice. American Congress of Rehabilitation Medicine: Reston, VA 2012.
- ◉ Kennedy MR, Coelho C, Turkstra et al. Intervention for executive functions after traumatic brain injury: a systematic review, meta-analysis and clinical recommendations. *Neuropsychol Rehabil* 2008;18:257-99.
- ◉ Malec JF. Post-hospital rehabilitation. In Zasler, N. D., Katz, D. I., Zafonte, R.D. (Eds.), *Brain Injury Medicine* (2nd ed). New York: Demos, 2012.
- ◉ Malec JF. Comprehensive brain injury rehabilitation in post-hospital settings. In Sherer M, Sander AM (eds), *Handbook of the Neuropsychology of Traumatic Brain Injury*. New York: Springer, 2014.
- ◉ Ownsworth T. Self-identity after brain injury. New York: Psychology Press, 2014.
- ◉ Ponsford J, Sloan S, Snow P. **Traumatic brain injury: Rehabilitation for everyday adaptive living (2nd ed).** New York: Psychology Press, 2013.
- ◉ Sohlberg MM, Turkstra LS. *Optimizing Cognitive Rehabilitation*. New York: Guilford, 2011.



jmalec@rhin.com

